

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
13D Management	877.413.3228	3796	www.13dactivistfund.com		3796
361 Funds	888.736.1227	N/A	www.361funds.com		3349
AAM/Bahl/Cutwater	888.966.9661	N/A	www.aammutualfunds.com		4985
AB Global (AllianceBernstein, W.P Stewart)	800.523.5695	8052	www.abglobal.com		5515
Abbey Capital	844.261.6484	N/A	www.abbeycapital.com	x	5808
Aberdeen Funds (Artio, Julius Baer, Alpine)	866.667.9231	10641	www.aberdean-asset.com		4899
ABR Dynamic Funds	212.918.4663	N/A	www.abrdynamicfunds.com	x	5659
Absolute Strategies	888.992.2765	N/A	www.absoluteadvisers.com	x	5659
Acadian Funds	866.226.6161	N/A	www.Acadian-asset.com	x	5763
Acuitas Investments	206.299.2070	N/A	www.acuitasinvestments.com	x	5659
Adams Harkness Funds (Lebenthal Lisanti)	800.441.7031	N/A	www.lisantismlcap.com	x	5659
Adaptive Allocation Fund	866.263.9260	6694	www.unusualfund.com		6694
Adirondack Funds	888.686.2729	N/A	www.Adirondackfunds.com	x	6613
AdvisorOne (formerly Monument/Orbitex)	866.811.0225	10641	www.advisorone.com	x	4904
Advisors Inner Circle Funds	866.226.6161	N/A	www.Acadian-asset.com	x	5763
Aegis	800.528.3780	N/A	www.agisfunds.com		6612
Affinity Investment	949.660.6373	N/A	affinityinvestment.com		3386
Akre Focus Funds	877.862.9556	N/A	www.akrefund.com	x	5937
Al Frank Funds	888.263.6443	10641	www.allfrank.com	x	4993
Alger Funds (formerly Sprecra)	800.992.3863	5110	www.alger.com		5594
Allianz Funds	800.926.4456	210990	www.allianzinvestors.com		5554
AlphaCentric Funds	844.223.8637	N/A	www.alphacentricfunds.com		3100
AlphaCore Absolute Fund	858.875.4100	N/A	www.alphacorecap.com	x	3716
ALPS Mutual Funds (West Corp Mutual Funds, CoreCommodity Management, Kotak, Metis, Red Rocks, WMC, Clough China Funs, RiverFront Global Allocation Series)	866.759.5679	10641	www.alpsfunds.com		5904
Altegris Fund	877.772.5838	5333	www.northernlightsfund.com		5333
Amana Funds (Saturna)	888.732.6262	N/A	www.amanafunds.com	x	4983
AmericaFirst Funds	877.217.8363	10641	www.afcm-quant.com		3314
American Beacon Funds (formerly SGA Funds)	800.967.9009	10641	www.americanbeaconfunds.com		5742
American Century	800.345.2021	0241	www.americancentury.com		5646
American Funds	800.421.0180	8636	www.americanfunds.com		5504
American Growth Funds, Inc.	800.525.2406	103	www.americangrowthfund.com		5676
American Independence Funds	866.410.2006	10641	www.aifunds.com		5694
American Trust	800.385.7003	N/A	www.ameritrust.com	x	6638
AMF Funds (Shay Funds)	800.247.9780	N/A	www.amffunds.com	x	6641
AMG Funds (formerly Managers Fund, SouthernSun, TimesSquare, Trilogy, Yackman)	800.835.3879	N/A	www.amgfunds.com	x	5736
Anfield Capital	949.891.0600	N/A	www.anfieldcapital.com		3386
API Funds (formerly Yorktown Funds)	888.933.8274	4972	www.apifunds.com		4972
Applesed Funds	800.470.1029	N/A	www.appleseedfund.com	x	5286
AQR Funds	866.290.2688	N/A	www.aqrfunds.com	x	5922
Aquila Distributors, Inc.	800.437.1020	38709	www.aquilafunds.com		5552
Arabesque Asset Management	N/A	N/A	www.arabesque.com	x	5611
Arbitrage Fund (includes Water Island Funds)	800.295.4485	N/A	www.thearbfund.com	x	5521
Ariel Funds	800.292.7435	10641	www.arielinvestments.com		5751
Aristotle	888.661.6691	N/A	N/A	x	4985
Arrow DWA Funds	877.277.6933	10641	www.arrowfunds.com		4824
Artisan International Small-Mid Fund	800.344.1770	N/A	www.artisanpartners.com		
Ascendant Balanced Fund	855.527.2363	3743	www.ascendantfunds.com		3743
Aston Funds	800.597.9704	N/A	www.astonfunds.com	x	5699
Astor Fund	877.738.0333	5400	N/A		5400
ATAC Flotation Rotation Fund	855.282.2386	N/A	www.pensionpartners.com	x	3367
Athena Global Investors	571.267.7071	N/A	www.athenaglobalinvestors.com		5381
Auxier Funds	877.328.9437	N/A	www.auxierasset.com		5659
Ave Maria Funds	866.283.6274	N/A	www.avemarialfunds.com	x	5830
AXS Investments					
Baird Funds	866.442.2473	N/A	www.bairdfunds.com	x	4905
Balter Liquid Alternatives	617.742.4226	N/A	www.balterliquidalts.com	x	3230
Baron Funds	800.442.3814	N/A	www.baronfunds.com	x	4862
Baywood Financial	416.221.1313	N/A	www.baywoodfinancial.com	x	5659
Beck, Mack & Oliver	800.943.6786	N/A	www.beckmack.com		5659
Becker	800.551.3998	N/A	www.beckervaluefunds.com	x	5291
Beech Hill Funds	877.760.0005	5129	www.bh-adv.com		5129
BeeHive	866.684.4915	N/A	www.thebeehivefund.com		5659
Berkshire	877.526.0707	N/A	www.berkshirefunds.com	x	5899
Bernzott Capital Advisors	877.998.9880	N/A	www.bcafunds.com	x	4985
Berwyn	800.992.6757	0241	www.berwynfunds.com	x	5605
Biondo Growth Fund	877.246.6367	10641	www.thebiondogroup.com		5477
Black Rock Funds (formerly State Street)	800.882.0052	2004	www.blackrock.com		5882
BMO Funds (formerly Marshall Funds)	800.236.3863	N/A	www.bmo.com	x	6561
Bogle	877.264.5346	N/A	N/A	x	5818
Boston Partners	617.832.8200	N/A	www.boston-partners.com	x	5800
Boston Trust & Investment Management Company	800.282.8782	0241	http://www.bostontrust.com	x	6622
BP TwinLine	855.402.7227	241	www.bpcfunds.com		1389
Brandywine	800.656.3017	N/A	www.brandywine.com	x	5736
Bridgeway Funds	713.661.3500	10641	www.bridgewayfund.com		5485
Broadview Funds	855.846.1463	N/A	N/A	x	1349

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
Brown Advisory Funds (formerly Winslow Green)	800.540.6807	N/A	www.brownadvisory.com	x	5437
Brown Brothers Harriman (BBH)	617.742.1818	N/A	www.bbh.com	x	5722
Brown Capital Management	877.892.4226	N/A	www.browncapital.com	x	3740
BTS Funds	877.287.9820	5401	www.btsfunds.com		5401
Buffalo	800.492.8332	N/A	www.buffalofunds.com	x	4931
Calamos Funds	800.823.7386	10297	www.calamos.com		5835
Calvert Group	800.368.2746	734	www.calvert.com		5543
Cambiar Funds	866.777.8227	N/A	www.cambiar.com	x	5763
Camelot Portfolios	855.226.3863	3320	www.camelotportfolios.com		3320
CAN SLIM (NorthCoast)	800.558.9105	N/A	www.northcoastam.com	x	6567
Capital Advisors	866.205.0523	N/A	www.ciaox.com	x	5691
Carillon Funds (Eagle Funds, Scout, Heritage Family of Funds)	800.421.4181	69000	www.carillontower.com		5822
Catalyst Mutual Funds	866.447.4228	5527	www.catalystmutualfunds.com		5527
Causeway	866.947.7000	0241	www.causewayfunds.com	x	4913
Cavanal Hill Funds (formerly American Performance Funds)	800.762.7085	10641	www.cavanalhillfunds.com		5807
CBRE Clarion Securities LLC	855.520.4227	N/A	www.cbreclarion.com	x	5763
CedarRidge	N/A	N/A	N/A	x	3009
Centaur Fund (Tilson Funds)	888.484.5766	N/A	www.tilsonmutualfunds.com	x	5337
Centerstone Investors Trust	877.314.9006	10641	www.centerstoneinv.com		9479
Centre Funds (formerly Drexel Funds)	855.298.4236	N/A	www.dhipfunds.com	x	5455
Century Funds	800.303.1928	10641	www.centuryfunds.com		5597
Chadwick & D'Amato	603.526.2409	N/A	www.chadwick-damato.com	x	5382
Champlain Funds	866.773.3238	N/A		x	5763
Charles Schwab	800.435.9050	N/A	www.schwab.com	x	5489
Chartwell Investment Partners	888.995.5505	N/A	N/A		4985
Chase	888.861.7556	N/A	www.chaseinv.com	x	5626
Chesapeake	800.430.3863	N/A	www.chesapeakefunds.com	x	5888
ClearBridge Investments (part of Legg Mason)	800.822.5544	5005	www.clearbridge.com	x	5749
Clipper Funds	800.432.2504	N/A	www.clipperfund.com	x	5965
CLS Group	212.943.2290	N/A	www.cls-group.com		4904
CMG Absolute Return Strategies	800.891.9092	10641	www.cmgfunds.net		6541
CNR Investment Management (CNI Charter)	888.889.0799	N/A	www.citynationalrochdalefunds.com	x	4990
Cohen & Steers Securities, Inc. (Fund of NY)	800.330.7348	0241	www.cohenandsteers.com		5780
Columbia Threadneedle Funds (formerly Galaxy Funds, Liberty, Seligman)	800.322.2847	5736	www.columbiamanagement.com		5501
Compass EMP Funds	888.944.4367	10641	N/A		6607
Comstock Fund (Gabelli distributors)	800.422.3554	4286	www.gabelli.com		5574
Conestoga Small Cap Fund	484.654.1380		www.conestogafunds.com		
Conductor Funds	844.46.72459	N/A	www.conductorfunds.com		7017
CRA Funds	877.272.1972	N/A	www.ccmfixedincome.com	x	5748
Credit Suisse Funds	800.927.2874	65249	www.credit-suisse.com		5735
CRM Funds	800.276.2883	N/A	www.crmfunds.com	x	6619
Crow Point Partners	855.754.7940	N/A	www.crowpointpartners.com		3322
Cullen Funds	877.485.8586	N/A	www.cullenfunds.com	x	5466
Cutler	888.288.5374	0241	www.cutler.com	x	3335
CVR	855.328.7691	N/A	N/A	x	5659
CWC	855.881.2381	N/A	www.cwcfunds.com	x	5379
Davenport	800.846.6666	N/A	www.investdavenport.com	x	5567
Davis Funds	800.279.0279	64239	www.davisfunds.com		5563
Day Hagan	877.329.4246	3317	www.davhagan.com		3317
Dean Funds	888.899.8343	10641	www.deanmutualfunds.com		4927
Deer Park Fund (formerly Sandalwood)	888.868.9501	N/A	www.deerparkfund.com		5381
Delaware Group	800.362.7500	1879	www.delawareinvestments.com		5510
Deutsche Asset & Wealth Management (formerly DWS Investments or Scudder)	800.730.1313	6680	www.fundus.deutscheawn.com		5503
DF Dent Funds	866.233.3368	N/A	www.dfdent.com	x	5659
Diamond Hill Funds	888.266.5595	10641	www.diamond-hill.com		4729
Dinosaur Lisanti Fund (part of Forum Funds)	866.251.6920	N/A	www.dinosaurlisanti.com	x	5659
Direxion Fund	877.437.9363	10082	www.direxionfunds.com		5726
Dodge & Cox	800.621.3979	N/A	www.dodgeandcox.com	x	5483
Domini Social Investment (DSL Investment Services)	800.498.1351	10641	www.domini.com		6631
DoubleLine Funds	877.354.6311	241	www.doublelinefunds.com		5353
Dreman Funds	800.247.1014	103579	www.dreman.com		5418
Dreyfus	800.346.8893	10241	www.dreyfus.com		5523
Driehaus	877.779.0079	N/A	www.driehaus.com	x	5923
Dunham Funds (formerly Kelmoore)	888.338.6426	10641	www.dunhamfunds.com		5675
DuPont	888.447.0014	N/A	www.dupont.com	x	5611
Eagle MLP	888.868.9501	5381	www.eaglemlp.com		5381
Eaton Vance	800.225.6265	97545	www.eatonvance.com		5509
Edgewood Funds	800.791.4226	N/A	www.edgewood.com	x	5763
EIC (Equity Investment Corp)	855.430.6487	0241	www.eicatlant.com		5611
Emerald Funds	866.909.8084	10641	www.emeraldmutualfunds.com		3619
Empiric Fund (formerly Choice Investments)	888.839.7424	10641	www.empiricfunds.com		5561
Essex (Managers Fund)	800.835.3879	N/A	www.managersinvest.com	x	5736
Euro Pacific Asset Management (EP Funds)	888.558.5851		www.europacificfunds.com		4985
Eventide Funds	877.771.3836	3316	www.eventidefunds.com		3316
Exeter Funds	800.551.0224	N/A	www.manning-napier.com	x	5753
Fairholme Funds	866.202.2263	N/A	www.fairholmefunds.com	x	4870
FAM Funds	800.932.3271	N/A	www.famfunds.com	x	6632

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
Federated Investors (formerly Prudent Bear, Righttime Funds, PNC, Armada, Allegiant)	800.245.5051	41939	www.federatedinvestors.com		5529
Fidelity Advisor	800.544.9999	10470	www.advisor.fidelity.com		5894
Fiera Capital (City National Rochdale)	212.300.1600	N/A	www.us.fieracapital.com	x	5763
First Eagle Funds	800.747.2008	300286	www.feim.com		5581
First Investors Corp.	800.524.2803	232	www.firstinvestors.com		5545
First Trust	888.373.5776	910076	www.ftportfolios.com		5374
Firsthand Funds	888.884.2675	N/A	www.firsthandfunds.com	x	4901
FMI Funds	800.811.5311	N/A	www.fmifunds.com	x	6664
Foreside Funds	207.553.7110	N/A	www.foreside.com	x	6767
Forester Funds	800.388.0365	N/A	www.forestervalue.com	x	4949
FormulaFolios	888.562.8880	N/A	formulafolios.com	x	3275
Forum Funds	888.992.2765	N/A	www.absoluteadvisers.com	x	5659
Forward Funds (formerly Kensing)	888.312.4100	10010	www.forwardinvesting.com		5889
FPA Funds	800.982.4372	10327	www.fpafunds.com	x	5851
Franklin Templeton Investments	800.342.5236	5531	www.franklintempleton.com		5534
Frost Funds	877.713.7678	N/A	www.frostbank.com	x	5763
FS Investments	877.628.8575	N/A	www.fsinvestments.com	x	
Fuller and Thaler	650.931.1500	N/A	www.fullerthaler.com	x	3270
Fund X	866.455.3863	N/A	www.upgraderfunds.com	x	5666
FX Strategy Fund	855.397.8728	0241	www.fxstrategyfund.com	x	3722
Gabelli	800.422.3554	4286	www.gabelli.com		5574
GAMCO (Gabelli distributors)	800.422.3554	4286	www.gabelli.com		5574
GE Funds	800.735.4547	CCAT	www.gefunds.com		5679
Ginkgo Funds	855.289.4656	N/A	ginkgofunds.com		3744
Glenmede	800.442.8299	N/A	www.glenmede.com	x	4955
GMG Fund	877.464.3111	N/A	www.thebetafund.com	x	5934
Goldman Sachs	800.621.2550	10094	www.gsamfunds.com		5572
GoodHaven	855.654.6639	N/A	N/A	x	3700
Gotham (formerly Vly, Formula Investing)	877.974.7825	N/A	N/A	x	5611
Grandeur Peak Funds	855.377.7325	N/A	www.grandeurpeakfunds.com		3759
Grant Park Strategy Fund	855.501.4758	5615	www.grantparkstrategy.com		5615
Greenspring Fund (Corbyn Investment Management)	800.576.7498	N/A	www.greenspringfund.com	x	6513
Guardian Park Ave. Fund, The (formerly RS Investment Trust)	800.766.3863	98	www.guardianinvestor.com		5573
Cuggenheim Investments (Rydex)	800.820.0800	10641	www.rydex-sgi-fp.com		4912
Guinness Flight Investment Funds (formerly Investec Funds)	800.434.5623	10641	www.gafunds.com		1436
Hamlin Capital Management	855.443.3863	N/A	www.hamlincm.com	x	5763
Hancock Horizon	800.990.2434	N/A	www.hancockhorizon.com		6691
Hanlon Invest	888.641.7100	N/A	www.hanloninvest.com		3263
Harbor Funds	800.422.1050	N/A	www.harborfunds.com	x	5471
Harding Loevner	877.435.8105	N/A	www.hardingloevnerfunds.com	x	4981
Hartford Funds (formerly Fortis Funds)	888.843.7824	2227	www.thehartford.com		4856
Harvest Global Investments	855.573.6994	N/A	N/A		5763
Hatteras Funds	866.388.6292	10641	www.hatterasfunds.com		5449
Haverford	866.301.7212	N/A	www.haverfordfunds.com	x	5963
Heartland Funds	800.432.7856	138	www.heartlandfunds.com	x	5571
Henderson Global Funds (formerly Monarch Funds, Gartmore)	866.443.6337	10641	www.henderson.com		5528
Hennessy Funds (FBR Funds)	800.966.4354	N/A	www.hennessyfunds.com	x	4869
Henssler Funds	800.936.3863	N/A	www.henssler.com		6550
Heritage Family of Funds (Eagle Family of Funds)	800.421.4181	69000	www.eagleasset.com		5822
Highland Funds (formerly Incline Capital Long/Short)	877.665.1287	10641	www.highlandfunds.com		5979
Hodges Funds	866.811.0224	N/A	www.hodgesfund.com	x	5792
Holbrook Income Fund	877.345.8646	N/A	www.holbrookholdings.com	x	9455
Holland Series Trust	800.304.6552	N/A	www.thehollandfund.com	x	6597
Homestead Funds	800.258.3030	N/A	www.homesteadfunds.com	x	6553
Hotchkis Wiley	800.796.5606	10641	www.hwcm.com		4984
HSBC Investors	800.782.8183	10641	www.hsbc.com		5814
Hundredfold Funds	866.842.4860	N/A	www.Hundredfoldselect.com	x	3767
Huntington Funds (class A shares only)	800.253.0412	10641	www.huntingtonfunds.com		5832
Hussman Funds	800.487.7626	N/A	www.hussmanfunds.com	x	5479
ICM Series Trust	866.234.5426	10641	www.icomd.com		5763
ICON Funds	800.764.0442	N/A	www.iconfunds.com		5549
Iman (Allied Asset)	888.386.3785	N/A	www.investaaa.com	x	5566
IMS Family of Funds	800.934.5550	10641			4995
Innealta Capital (Dynamic International, Dynamic U.S. Opportunity)	855.873.3837	N/A	www.innealtafunds.com		3795
Integrity Funds (formerly Viking Funds)	800.276.1262	10641	www.integrityvikingfunds.com		5657
Intrepid Funds	866.966.3863	N/A	www.intrepidcapitalfunds.com	x	4815
Invesco (formerly Oppenheimer Funds, AIM Funds, GT Global, Van Kampen)	800.959.4246	90360	www.invesco.com		5540
Investment Partners Fund	866.390.0440	5414	www.investmentpartnersfunds.com		5414
Iron Funds	877.322.0575	CC4	www.ironfunds.com	x	5301
Ironclad Funds	888.979.4766	N/A	www.ironcladfunds.com	x	4985
ISI Family of Funds (includes Flag Investors)	800.955.7175	7286	www.isifunds.com		5591
IVA Funds	866.941.4482	13202	www.ivafunds.com		4724
Ivy Funds (formerly Advantus Funds)	800.532.2751	6223	www.ivyinvestments.com		4879
J. P. Morgan (includes One Group Funds and Undiscovered Managers; formerly Chase Vista)	800.480.4111	10641	www.jporganchase.com		5599
Jacob Funds (formerly Pinebridge Funds)	888.522.6239	N/A	www.jacobinternet.com	x	4960

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
JAG Advisors	855.552.4596	N/A	www.catalystmutualfunds.com		3603
James Advantage Funds	800.995.2637	N/A	www.jamesfunds.com	x	5613
Janus Henderson Funds	800.525.3713	10641	www.janushenderson.com		5781
Jennison Dryden (Prudential)	800.225.1852	10641	www.investments.prudential.com		5805
Jensen Funds	800.992.4144	N/A	www.jenseninvestment.com	x	5491
John Hancock Funds (formerly Manulife)	800.225.5291	50197	www.johnhancock.com		5582
Keeley Funds (Gabelli distributors)	800.621.5084	91	www.keelevfunds.com		5661
Kinetic Funds	800.930.3828	0241			6608
Knowledge Leaders Capital (KL Allocation Fund, Gavekal Funds)	888.998.9890	N/A	www.knowledgeleaderfund.com	x	4985
Lateef Investment Manager	866.499.2151	0241	www.lateef.com		5611
Laudus Funds	800.447.3332	N/A	www.laudusfunds.com	x	4903
Lazard Asset Management	800.823.6300	N/A	www.lazardnet.com	x	4850
Leader Short Term Fund	800.711.9164	10641	www.leadercapital.com/		5804
Legg Mason (includes ClearBridge Funds)	800.822.5544	5005	www.leggmason.com		5749
Leuthold	800.273.6886	N/A	www.leutholdfunds.com	x	5656
Linde, Hansen & Co.	855.754.7933	N/A	www.lindchansen.com		3616
Litman Gregory Masters Fund	800.960.0188	N/A	www.mastersfunds.com	x	4892
LKCM	800.423.6369	N/A	www.lkcm.com	x	4883
LMCG Funds	877.591.4667	N/A	N/A	x	5659
Longboard	855.294.7540	N/A	www.longboardmutualfunds.com		3347
Longleaf Partners	800.445.9469	N/A	www.longleafpartners.com	x	4863
Lord Abbett & Co.	800.426.1130	13485	www.lordabbett.com		5500
Madison Funds	800.877.6089	0241	www.madisonfunds.com		4987
MAI Wealth	866.624.9584	N/A	www.maiwealth.com	x	5659
Mainstay Family of Funds	800.624.6782	10061	www.mainstayinvestments.com		5539
Mairs & Power	800.304.7404	N/A	N/A	x	6546
Manning & Napier	800.551.0224	N/A	www.manning-napier.com	x	5753
Marathon Value Portfolio	800.788.6086	N/A	www.marathonvalue.com	x	5303
Marketfield Funds	800.684.6583	N/A	www.marketfield.com		3293
Marsico (formerly Security Funds)	888.860.8686	N/A	www.marsicofunds.com	x	5728
Matrix/LMH	800.366.6223	N/A	www.matrixadvisorsvaluefund.com	x	4908
Matthews Funds	800.789.2742	N/A	www.matthewsasia.com	x	5724
McKee Funds	866.625.3346	N/A	www.csmckee.com	x	5763
Meeder Funds (formerly Flex Funds)	800.325.3539	95	www.meederfunds.com	x	5706
Merger Funds	800.343.8959	N/A	www.mergerfund.com	x	6601
Meridian (formerly Destra)	800.446.6662	N/A	www.meridianfund.com	x	1324
Merk Funds	866.637.5386	N/A	www.merkfund.com	x	5659
Merrill Lynch (covers class I shares only)	800.637.7455	10641	www.ml.com	x	#N/A
Metropolitan Funds	800.241.4671	N/A	www.mwamllc.com	x	6644
MFS Investments (Mass. Financial Services Co.)	800.343.2829	5011	www.mfs.com		5516
Midas	800.400.6432	N/A	www.midASFunds.com	x	5890
Milestone Capital	866.811.0225	N/A	www.milecap.com		4904
Miller Investments	877.441.4434	10641	www.millerconvertiblefund.com		5622
Miller Value Funds	888.593.5110	N/A	www.millervaluefunds.com		3511
Mirae Asset Global Investments	888.335.3417	0241	www.miraeasset.com		5322
MMA Praxis Mutual Funds	800.977.2947	10641	www.evcrenc.com		5791
Moncapfund (formerly Monongahela)	855.392.9331	N/A	N/A	x	5659
Monteagle Funds	888.263.5593	10641	www.monteaglefunds.com		6697
Morgan Stanley (includes all funds)	888.880.6746	1130	www.morganstanley.com		4970
Motley Fool Global Opportunity Fund	888.863.8803	N/A	www.mfamfunds.com	x	6594
Mount Lucas (formerly DundeeWealthFunds)	888.572.0968	N/A	N/A	x	5611
Muhlenkamp	800.860.3863	N/A	www.muhlenkamp.com	x	5986
Munder Advantage Fund	800.468.6337	13485	www.munder.com		5617
Nationwide Funds	800.848.0920	0241	www.nationwide.com		6559
Natixis Funds (Loomis Sayles, Gateway, IXIS Funds)	800.225.5478	11556	www.ga.natixis.com		5542
Navigator Hedged Fund (Clark Capital Management)	877.766.2264	5394	www.ccmg.com		5394
Needham	800.625.7071	N/A	www.needhamfunds.com	x	5587
Neiman Funds	877.385.2720	10641	www.neimanfunds.com		5738
Neuberger Berman	800.335.9366	10641	www.nb.com		5810
New Alternatives Fund (formerly Accrued Equities)	800.423.8383	241	www.newalternativesfund.com		5678
Nicholas Funds	800.544.6547	N/A	www.nicholasfunds.com	x	5590
Nomura Partners Funds	800.535.2726	10641	www.nomurapartnersfunds.com		5426
North Square Funds (Oak Ridge, Ridgeworth Capital, STI Classic Funds)	855.551.5521	0241	www.northsquareinvest.com		4985
North Star	855.580.0900	N/A	N/A		3783
Northern Funds	800.595.9111	10641	www.northernfunds.com		6633
Nuveen Funds	800.257.8787	9000460	www.nuveen.com		5520
Nvsa	800.535.9169	N/A	www.nvsfunds.com		4925
Oak Funds	888.462.5386	N/A	www.oakfunds.com	x	5761
Oakmark	800.625.6275	N/A	www.oakmark.com	x	4851
Oberweis	800.245.7311	N/A	www.oberweisfunds.com	x	5697
Old Mutual Investment Partners	617.369.7300	N/A	www.oldmutual.com		5750
Olstein Funds	914.701.7565	10196	www.olsteinfunds.com		5775
Orchard Platform	888.217.2718	N/A	www.orchardplatform.com		3362
Orinda Funds	855.467.4632	N/A	www.orindafunds.com		5931
Osterweis	866.236.0050	N/A	www.osterweis.com	x	4840
Pacific Advisors Funds (Pacific Global)	800.282.6693	N/A	www.pacificadvisorsfunds.com		4860
Pacific Capital Funds	800.258.9232	10641	N/A		6511
Pacific Funds	800.722.2333	364	www.pacificlife.com		5478

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
Palmer Square Capital Management	866.933.9033	N/A	www.palmersquarecap.com		4985
Paradigm Funds	800.239.0732	N/A	www.paradigm-funds.com	x	5476
Parnassus Fund, The	800.999.3505	170	www.parnassus.com	x	5783
Patriot Fund	855.527.2363	3743	www.patriotfund.com		3743
Pax World Fund Family	800.767.1729	0241	www.paxworld.com		4940
Payden Funds	800.572.9336	N/A	N/A	x	5535
Payson Funds	800.805.8258	N/A	www.hmpayson.com	x	5659
Pear Tree Funds	800.326.2151	N/A	www.peartreefunds.com	x	4919
Performance Funds	877.738.9095	N/A	www.ptiafunds.com	x	5324
Permanent Portfolio	800.531.5142	N/A	www.permanentportfoliofunds.com	x	6627
Perritt (formerly Rafferty Capital Management)	800.332.3133	N/A	www.perrittcap.com	x	5602
Persimmon Long/Short Fund	484.572.0500	N/A	www.persimmonfunds.com	x	3617
PIA Mutual Funds	800.251.1970	N/A	www.piamutualfunds.com	x	4881
PIMCO Funds	800.426.0107	210990	www.pimco.com		4991
Pinnacle	888.985.9830	N/A	www.pinnaclevaluefund.com		7094
Pioneer Investments	800.225.6292	806223	www.pioneerinvestments.com		5502
PMC Funds	866.762.7338	10641	www.investpmc.com		5747
Polaris Funds	888.263.5594	N/A	www.polariscapital.com	x	4919
Polen Capital Management	888.678.6024	N/A	www.polencapital.com	x	5611
Portfolio 21	866.209.1962	N/A	www.portfolio21.com	x	4929
Portfolio Strategies Fund (PSI Funds)	888.928.9774	5335	www.portstrat.com		5335
Potomac Funds (DireXion)	800.851.0511	10641	www.potomacfund.com		5726
Power Income Fund	877.779.7462	5332	www.powerincomefund.com		5332
Price Asset Management (PCS)	877.261.4460	N/A	www.priceasset.com		3126
PRIMECAP Odyssey Funds	800.729.2307	N/A	www.odysseycfunds.com	x	4895
Princeton Strategy Fund	888.868.9501	5381	www.pfstrategyfund.com		5381
Principal Mutual Funds (Princor) (WM Group of Funds)	800.247.4123	151	www.principal.com		5836
Private Capital Management	888.568.1267	0241	www.private-cap.com		5611
Probabilities Fund	855.224.7204	N/A	N/A	x	5381
ProFunds (formerly Access One Funds)	888.776.5717	10641	www.profunds.com		4969
Provident	855.739.9950	N/A	www.provtrst.com	x	3371
Prudential Investments (PGIM, Jennison Dryden)	800.225.1852	1002	www.prudentialfunds.com		5805
PSI	253.383.1676	N/A	www.portstrat.com		5335
Putnam Investments	800.526.4828	8753	www.putnam.com		5505
Quaker	800.220.8888	2700	www.quakerfunds.com		4885
Rainier Funds	800.248.6314	N/A	www.rainierfunds.com	x	4876
Rational Funds	800.253.0412	N/A	www.rationalmf.com		5832
RBC Global Asset Management	800.422.2766	N/A	www.rbcgam.us		5413
Reaves Select Research	866.342.7058	N/A	www.whreaves.com		5763
Recurrent Funds	N/A	N/A	www.recurrentadvisors.com	x	0893
Redwood Funds	310.693.5375	N/A	www.redwoodmutualfunds.com	x	1365
Reynolds	800.773.9665	N/A	www.reynoldsfunds.com	x	6573
Rice Hall James (RHJ Funds)	866.474.5669	N/A	N/A	x	5763
River Park Funds	888.564.4517	N/A	www.riverparkfunds.com	x	5343
Riverbridge	888.447.4470	N/A	www.riverbridge.com	x	4985
Rivernorth Funds	888.848.7569	N/A	www.rivernorthfunds.com	x	6520
Riversource Funds	800.333.3437	N/A	www.riversource.com	x	6616
RMB Funds (formerly Burnham Funds)	800.462.2392	CCGAT	www.rmbfunds.com		5583
RNC Center Capital Management	800.877.7624	N/A	www.rnccenter.com	x	4985
Robeco Investment Management	888.261.4073	0241	www.SIFund.com	x	5800
Roosevelt Fund	877.322.0576	N/A	www.rooseveltmc.com	x	4725
Royce Funds	800.221.4268	N/A	www.roycefunds.com		5663
Russell	800.787.7354	N/A	www.russell.com		5762
Salient Funds	866.667.9228	N/A	www.salientpartners.com		3351
Sands Capital	888.826.5646	N/A	www.sandscapital.com	x	5763
Saratoga Advantage Trust	516.873.7011	10641	www.saratogacap.com		4959
Schneider Funds	888.520.3277	0241	www.SIFund.com	x	5856
Schooner Funds	866.724.5997	241	www.schoonermutualfunds.com		4723
Schroder Funds	800.464.3108	N/A	www.schroderfunds.com	x	4956
Schwab Funds	800.435.4000	N/A	www.schwab.com	x	5489
Schwartz Funds	888.726.0753	N/A	www.schwartzinvest.com	x	5830
ScotiaFunds	888.572.0968	N/A	N/A	x	5808
Segall Bryant & Hamill (formerly Westcore Funds)	866.490.4999	N/A	www.sbhic.com	x	4985
SEI Investments	800.342.5734	N/A	www.seic.com	x	5717
Selected Fund	800.717.3477	64239	www.selectedfunds.com		5850
Sequoia Fund	800.686.6884	N/A	www.sequoiafund.com	x	0052
Seven Canyons (formerly Wasatch)	800.551.1700	NA	www.sevencanyonsadvisors.com	x	5773
Shaker Fund (formerly Forum Funds)	800.337.9505	10641	www.shakerfinancial.com		5659
Shelton Funds (California Trust Company)	800.955.9988	266	www.sheltoncap.com		4989
Sierra Trust Funds	800.222.5852	10641	N/A		5628
SilverPepper	855.554.5540	N/A	N/A	x	5805
Sirios	617.598.5155	N/A	N/A	x	
SkyBridge Capital	212.485.3100	N/A	www.skybridgecapital.com	x	5611
SMH Capital Advisors	866.447.4228	N/A	www.smhca.com	x	3321
Sound Shore Fund	800.551.1980	10641	www.soundshorefund.com		5649
SSgA (State Street Global Advisors)	800.647.7327	N/A	www.ssgafunds.com	x	5641
Stadion Investment Trust (formerly called PMF Funds)	866.383.7636	10641	www.stadionfunds.com		6692
Sterling Capital Funds	800.228.1872	0241	www.sterlingcapitalfunds.com		5648
Stratton	800.472.4266	0241	www.strattonmutualfunds.com	x	5448

Mutual Fund Selling Agreements

Family of funds	Phone number	Dealer number	Web site	No-load fund family (only)	Pershing fund family code
Summit Funds	855.744.8500	N/A	www.summitglobalinvestments.com	x	5808
Sun America	800.858.8850	106	www.sunamerica.com		5596
Swan Defined Risk Funds	866.617.7926	10641	www.swandefinedriskfunds.com		3357
T. Rowe Price	800.638.5660	N/A	www.troweprice.com	x	5586
Tanaka (formerly First Dominion Capital)	877.482.6252	N/A	www.tanaka.com		5771
TCM Funds	800.536.3230	N/A	www.tyghcap.com	x	4898
TCW (formerly Calileo Funds)	800.386.3829	CGAT	www.tcw.com		6563
TETON Westwood (Gabelli distributors)	800.937.8966	N/A	www.tetonadv.com		5574
TheCollarFund	888.526.5527	N/A	www.thecollarfund.com	x	5927
Third Avenue Funds (formerly M.J. Whirman)	800.443.1021	N/A	www.thirdavenuefunds.com	x	5829
Thomas White Funds	800.811.0535	0241	www.thomaswhite.com		6636
Thompson IM Funds, Inc.	800.999.0887	N/A	www.thompsonim.com	x	5557
Thornburg Management Co., Inc.	800.847.0200	10437	www.thornburginvestments.com		5541
TIAA-CREF Investments	800.752.8700	N/A	www.tiaa.org	x	5668
Timothy Plan	800.846.7526	10183	www.timothyplan.com		6518
Toews Fund	577.558.6397	5906	www.toewscorp.com		5906
Toqueville Securities	212.698.0800	43	www.tocqueville.com		5862
Tortoise Investments (Advisory Research)	913.981.1020	N/A	www.tortoiseadvisors.com		5168
Touchstone Family of Funds (acquired Sentinel Funds, formerly Citizen Funds)	800.669.2796	0241	www.touchstoneinvestments.com		5711
Towle & Co.	888.998.6953	N/A	www.towlefund.com	x	4985
Transamerica Funds (formerly IDEX & Western Reserve Life)	888.233.4339	N/A	www.transamericainvestments.com	x	5580
Transamerica IDEX Management, Inc.	800.892.7587	152	www.transamericainvestments.com		5580
Transparent Value Trust	888.727.6885	N/A	www.transparentvalue.com		5314
Tributary Funds	800.662.4203	10641	www.tributaryfunds.com	x	5853
TS&W Funds	866.487.9386	N/A	www.tswinvest.com	x	5763
Twedy Browne Company	800.432.4789	N/A		x	5488
Two Oaks	855.896.6257	N/A	N/A		3751
U.S. Global Investors	800.873.8637	N/A	www.usfunds.com	x	5442
USA Mutuals (Vice, Wavefront, Navigator, Hedged Quant.)	866.264.8783	0241	www.usamutuals.com		5847
USAA	800.531.8722	N/A	www.usaa.com	x	187
USFS Funds	877.299.8737	N/A	www.pennantmanagement.com	x	5763
Valley Forge Fund	800.869.1679	N/A	www.valleyforgefund.com	x	666
Value Line Funds	800.243.2729	N/A	www.vlfunds.com	x	5827
Van Eck	800.221.2220	1329	www.vaneck.com		5524
Vanguard	800.997.2798	N/A	www.vanguard.com	x	5772
Victory Funds (New Vistory RS Funds)	877.660.4400	0241	www.victoryconnect.com		5686
Villere	866.209.1129	N/A	www.villere.com	x	4886
Virtus Mutual Funds (Phoenix Funds, Ridgeworth, STI, Zweig Securities)	800.243.1574	8210	www.virtus.com		5517
Vivaldi Funds	312.248.8300	N/A	www.vivaldifunds.com		3344
Voya (formerly ING Funds)	800.992.0180	1496	www.voya.com		5734
Waddell & Reed (can only hold or transfer in)	888.923.3355	0241	www.waddell.com		4879
Walden Funds (part of Boston Trust)	800.282.8782	0241	www.waldenassetgmt.com	x	6622
Weitz	800.304.9745	N/A	www.weitzfunds.com	x	5769
Wells Fargo Funds (formerly SIFE, Strong Investments)	888.877.9275	20051	www.wellsfargo.com		5576
Western Asset Management (select funds)	888.425.6432	10641	www.westernasset.com		5834
Westwood	877.386.3944	N/A	www.westwoodfunds.com	x	5763
William Blair Funds	800.635.2886	N/A	www.wmblair.com	x	5538
Wilmington Funds (formerly MTB Group of Funds, Vision Funds)	800.836.2211	41939	www.wilmingtonfunds.com		5696
Wilshire Trust Funds	800.345.7999	N/A	www.advisor.wilshire.com	x	4875
Wintergreen Funds	888.468.6473	10641	www.wintergreenfund.com		5721
WOA	407.567.2956	N/A	www.wateroak.com	x	3632
WPG Group	N/A	N/A	www.wpggroup.com	x	5800
Wright Investors' Service Distributors, Inc.	800.888.9471	97545	www.wisi.com		5872
Zacks Funds	888.453.4003	N/A	www.zacksfunds.com		1985
Zeo	855.936.3863	N/A	N/A	x	3715