

Atria Wealth Solutions Closes Acquisition of Cadaret, Grant & Co., Inc.

NEW YORK and SYRACUSE –October 4, 2018 – Atria Wealth Solutions (Atria), a wealth management solutions holding company, today announced that it has closed the acquisition of Cadaret, Grant & Co., Inc. (Cadaret, Grant). Founded in 1985, Cadaret, Grant is one of the largest privately-owned independent broker/dealers in the country, servicing approximately 900 financial advisors and with more than \$23 billion in assets under administration. Atria will acquire 100% of Cadaret, Grant and 100% of Cadaret, Grant’s sister companies, Cadaret Grant Agency Inc. and Capital Strategy Group Ltd.

“Acquiring Cadaret, Grant completes a critical stage in the execution of Atria’s strategy to be the premier service provider to independent financial advisors and financial institutions,” said Doug Ketterer, CEO of Atria. “We are building a unique multi-channel business that focuses and caters to the specific needs of independent advisors, credit unions and banks. Building on Cadaret, Grant’s long history and unparalleled service model, Atria’s subsidiaries are now fully positioned to provide independent advisors with the most competitive set of tools and solutions that meet their ongoing demands in today’s rapidly changing wealth management environment.”

Atria will be investing significant capital and resources in its subsidiaries to create a new and elevated experience for financial advisors. Investments will focus on client and advisor technologies, enhanced product offerings and streamlined services that will be rolled out in phases across its subsidiaries CUSO Financial Services, L.P., Sorrento Pacific Financial, L.P. and Cadaret, Grant.

About Atria Wealth Solutions

Atria Wealth Solutions (Atria) is a wealth management solutions holding company focused on elevating the end-to-end experience for financial advisors and their clients. Headquartered in New York City, Atria’s core mission is to empower financial advisors with a next-generation set of tools, services and capabilities that drive growth in today’s rapidly changing wealth management environment. Cadaret, Grant & Co., Inc., CUSO Financial Services, L.P. and Sorrento Pacific Financial, L.P. are fully owned subsidiaries of Atria, which supports over 1500 financial advisors

with more than \$50b of assets under administration. For more information, please visit www.atriawealth.com

About Cadaret, Grant & Co., Inc.

Cadaret, Grant is a broker/dealer headquartered in Syracuse, New York servicing approximately 900 financial advisors in 475 branch offices nationwide. Founded in 1985, Cadaret, Grant provides superior service, advanced technology, effective marketing tools, and a supportive business environment for financial advisors. For more information about Cadaret, Grant, visit www.cadaretgrant.com

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